

PATENT APPLICATION  
Navy Case No. **83,217**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## APPLICATION FOR LETTERS PATENT

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT **Richard F. Fernsler, Robert A. Meger, Darrin Leonhardt and Scott G. Walton** who are citizens of the United States of America, and are residents of Washington, DC, Washington, DC, Washington, DC and Washington, DC, invented certain new and useful improvements in **“METHOD AND APPARATUS FOR PRODUCING AN ION-ION PLASMA CONTINUOUS IN TIME”** of which the following is a specification:

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## METHOD AND APPARATUS FOR PRODUCING AN ION-ION PLASMA CONTINUOUS IN TIME

### 5 FIELD OF THE INVENTION

This invention relates in general to the field of material processing and in particular to the field of using ion-ion plasma source for etching materials.

### BACKGROUND OF THE INVENTION

10 Plasmas are widely used to modify the surface properties of materials and are now indispensable in etching sub-micron features. These features are created using a mask to define the feature, reactive neutrals (radicals) to attack the unmasked areas chemically, and energetic ions to remove the debris and provide directionality. The plasma provides both the ions and radicals. In conventional etchers the ions are almost always positive  
15 and are accelerated onto the material by an electric field. Because most materials being etched are poor conductors, a negative current must accompany the positive ion current, to avoid charging the surface. The simplest solution is to apply rf fields that drive positive ions into the material during one part of the rf cycle and negatively charged particles during the other part. The rf frequency most commonly used is 13.56 MHz.

20 Conventional etchers use electromagnetic fields to heat plasma electrons to ionize a background gas, and the plasmas thus formed necessarily contain large numbers of free electrons. In electronegative gases, some of the electrons attach to the molecules to form negative ions, but the electrons continue to carry most of the negative rf current because the ions are much heavier and less mobile. Moreover, the electrons generate an  
25 electrostatic field that prevents negative ions from leaving the plasma. The positive ions

and free radicals then do the actual etching of reactive material in contact with the plasma, while the electrons neutralize any bulk charge left on the surface of the material. Negative ions, while often present, are unsued in conventional etchers.

Using electrons to neutralized positive surface charge works well for large-scale  
5 features but not small-scale features. This is because the light and hot electrons flow in all directions, whereas the cold and massive ions are driven directly toward the material by the applied and self-fields. The ions therefore preferentially strike the bottom of a deep narrow trench, whereas the electrons spread out and strike the side walls of the trench. The bottom of the trench thus charges positively while the side walls charge  
10 negatively, and this difference in charge generates a transverse electrostatic field that deflects ions into the side walls. The side wall then begin to etch and erode, thus deforming the trench. Deep narrow trenches with straight side walls are therefore difficult to form with electron-ion plasmas.

One possible solution is to use negative ions rather than electrons to neutralize the  
15 surface charge. This requires an ion-ion plasma consisting mainly of positive and negative ions but few electrons. Unlike electrons, negative ions flow directly into a material when accelerated through a thin, electrostatic sheath adjacent to the material. Moreover, negative ions etch as well as, and possibly better than, positive ions. In ion-ion plasmas, positive ions flow toward the material during one half cycle of the rf field,  
20 while negative ions flow during the other half cycle. However, the rf frequency must now be reduced to 1 MHz or less, to give the massive ions time to respond to the fields. Also, square rf pulses can be used in place of sinusoidal pulses, to reduce the energy spread of the ions and thereby improve etch selectivity in different materials. Since both

current carriers are now directed toward the material, deeper and narrower channels can be formed using ion-ion plasmas. The aspect ratio ultimately achievable is then limited by chemical etching from the isotropic radicals alone. This limit, which has yet to be reached in present-day etchers, is approached with ion-ion plasmas provided the ions are  
5 cold and traverse the rf sheath while suffering few collisions.

Conventional electromagnetic discharge sources use hot electrons to generate a discharge and thus naturally generate electron-ion plasmas. These sources include capacitively coupled discharges, inductively coupled discharges, helicons, surface waves, and electron-cyclotron-resonance reactors. However, if the electromagnetic heating  
10 fields are turned off, the plasma will convert into an ion-ion plasma in many of the halogen-based gases commonly used for etching. This is because, the dissociative attachment rate rises, in these gases, as the electrons temperature drops, and thus the electrons attach during the afterglow ("off" phase) to form negative ions. Pulsing any conventional source can thus produce an ion-ion plasma late in the afterglow. When the  
15 heating fields are on, the electrons are hot and produce an electron-ion plasma. When the heating fields are off, the electrons cool, the plasma decays, and an ion-ion plasma eventually forms. However, because the electrons are hotter and more mobile than the ions, this conversion typically occurs only late in the afterglow when the electron density has fallen to several orders of magnitude below the ion density. Only at that point are  
20 negative ions able to leave the plasma.

The Charged Particle Physics Branch (Code 6750) at the Naval Research Laboratory has developed a plasma source for etching called the Large Area Plasma Processing System (LAPPS). This system is the subject of U.S. Patents 5,182,496 and

5,874,807, both of which are incorporated herein by reference, in their entireties. This plasma source uses a magnetically confined, sheet electron beam to ionize a background gas and produce a planar electron/ion plasma. Electron beams exhibit high ionization and dissociation efficiency of the background gas. In addition, the plasma production process is largely independent of the ionization energies of the gas or the reactor geometry. Since the plasma volume is limited only by beam dimensions, the usable surface area of the plasma thus can exceed that of other plasma sources.

Although pulsing a conventional plasma source can produce ion-ion plasmas, the technique suffers from several serious limitations. One limitation is that hot electrons drive the ion flux during the electron-ion phase, whereas cold ions drive the ion flux during the ion-ion phase. As a result, the ion flux during the electron-ion phase is orders of magnitude larger than the ion flux during the ion-ion phase. In addition, the ion-ion phase persist for only a brief portion of the afterglow and therefore for an even shorter portion of the total period. The net result is that most of the etching occurs during the electron-ion phase rather than during the ion-ion phase. The useful duty cycle and efficiency of ion-ion etching from conventional, pulsed sources is thus low. Nevertheless, despite these limitations, pulsed plasmas have been shown to improve etch quality.

Therefore, it would be desirable to produce an ion-ion plasma with a high degree of control that is continuous in time.

## SUMMARY OF THE INVENTION

Disclosed is an ion-ion plasma source featuring a processing chamber containing a large concentration of halogen or halogen-based gas. A second chamber is coupled to the processing chamber and features an electron source which produces a high energy  
5 electron beam. The high energy electron beam is injected into the processing chamber where it is shaped and confined by a means for shaping and confining the high energy electron beam. The high energy electron beam produced in the second chamber when injected into the processing chamber ionizes the halogen gas creating a dense ion-ion plasma in the processing chamber that is continuous in time.

10 Also disclosed is a method for creating an ion-ion plasma continuous in time comprising a processing chamber containing a large concentration of at least one halogen gas and a second chamber coupled to the processing chamber. Creating a high energy electron beam in the second chamber, injecting the high energy electron beam into the processing chamber, shaping the high energy electron beam injected into the processing  
15 chamber with a magnetic field. Wherein the high energy electron beam injected into the processing chamber ionizes the halogen gas creating a dense ion-ion plasma in the processing chamber that is continuous in time.

## BRIEF DESCRIPTION OF THE DRAWINGS

20 Figure 1 shows an apparatus for producing an ion-ion plasma continuously in time. Figure 2 shows an example beam source for producing a high energy electron beam. Figure 3 shows a second example beam source for producing a high energy electron beam.

## DETAILED DESCRIPTION

Referring to the figures wherein like reference numbers denote like elements,  
figure 1 shows an example embodiment of CIIPS, an apparatus for producing and ion-ion  
5 plasma 100 that is continuous in time.

As shown in figure1, plasma source 100 features a processing chamber  
comprising 101, having therein a large concentration of a halogen-based gas. A second  
chamber 111 is coupled to the processing chamber 101 and contains therein an electron  
source which provides a high energy electron beam 112, in the second chamber 111.  
10 Processing chamber 101 features means for shaping the high energy electron beam which  
in the example embodiment is a longitudinal magnetic field applied to the surface of the  
chamber wall in the direction of propagation. The longitudinal magnetic field generally  
is externally generated, and applied to keep the beam from expanding and striking the  
substrate, and to keep the beam current density, and thus the plasma density  
15 approximately constant as the beam propagates, and to retard the outward flow of plasma  
electrons. In an example embodiment, the magnetic field is produced by positioning  
magnetic field coils, or possibly permanent magnets, along to direction of electron beam  
propagation.

In operation the high energy electron beam 102 produced in the second chamber  
20 111 is injected into the processing chamber 101 and is confined transversely by the  
magnetic field. The confined high energy electron beam 102 in the processing chamber  
101 ionizes the gases creating a dense, ion-ion plasma 103 in the processing chamber.  
The ion-ion plasma is produced continuously in time. The high energy electron beam

102 injected into the processing chamber 101 creates a ion-ion plasma 103 by  
dissociating the molecules of the halogen-based gas into a group of cold plasma  
electrons, free electrons and positive ions 109. Specifically, the plasma electrons and  
positive ions 109 are created through ionization, while neutral radicals are created  
5 through the disassociation of the halogen molecules. The cold free electrons (1eV)  
created in the plasma attach to halogen molecules to form negative ions 108. This  
produces a dense plasma 103 that features a large concentration of positive ions 109,  
negative ions 108 and neutral radicals 110.

The processing chamber features two or more planar substrate stages (not shown).  
10 These substrate stages are closely spaced to provide room for the electron beam to pass  
between them. The material to be processed 107 is placed on one or more of the stages  
and an rf voltage 105 is applied as necessary to accelerate the ions, 108 and 109 onto the  
material being processed 107.

The distance from the electron beam 102 to the substrate stage provides additional  
15 control over the particle fluxes, separate from the beam and gas parameters. Typically,  
the stages sit 1 cm or more from the electron beam 102 in order to prevent the beam 102  
from striking the material being processed 107.

CIIPS employs a magnetically confined sheet electron beam to ionize and  
dissociate a background gas. CIIPS produces a continuous ion-ion plasma rather than an  
20 electron-ion plasma, by using a gas mixture containing a large concentration of halogen  
gas with a large attachment cross section at electron energies below 1 eV. Candidate  
gases include SF<sub>6</sub>, Cl<sub>2</sub> and F<sub>2</sub>.



The high energy electron beam is confined transversely by a longitudinal magnetic field to maintain plasma uniformity over a large area, to prevent the beam from striking the substrate, and to reduce the flux of plasma electrons to the substrates. These features minimize the loss of electron energy.

5        The electron beam may be produced in a chamber separated from the processing chamber by differential pumping as indicated in figure 1. This feature helps to minimize gas contamination and improves processing control. The high energy electron beam within the second chamber is approximately 2000 eV. This energy level can vary depending on the gas pressure and the system length.

10        In a preferred embodiment the high energy electron beam employed by the disclosed ion-ion method has an energy level approaching 2000 eV. As such, the ionization energies of the gases can differ widely, since the electron beam has sufficient energy to ionize and dissociate any and all gases. Moreover, the ionization and disassociation rates of a given gas constituent are largely determined by the concentration  
15 of that constituent for a given electron beam, which allows the processing chamber to be populated with a wide mixture of halogen gases. By contrast, prior methods were often restricted to the use of halogen gases with similar electron bond strength. In the present invention, the option of varying the gas mixture provides direct control over the plasma constituents and the plasma chemistry.

20        The beam energy is nominally a few keV or less, the beam current density is typically  $0.1 \text{ A/cm}^2$  or less, the gas pressure in the processing chamber is typically 50 mtorr, and the magnetic field along the beam is around 200 G. The beam is normally a few cm thick and arbitrarily wide, as determined by the chamber size and application.

The magnetic field is applied to keep the beam thickness approximately constant over the beam range. For the parameters specified the beam range is 1 m or more, and the ion density produced is as high as  $2 \times 10^{12} \text{ cm}^{-3}$ . CIIPS can thus generate dense, uniform, ion-ion plasmas over processing areas as large as  $1 \text{ m}^2$  or more.

5           In a preferred embodiment the electron beam is shaped into a thin sheet. The sheet beam can be produced in a variety of ways, and two methods have been successfully demonstrated and are shown in figures 2 and 3.

Figure 2 shows an example beam source used to produce a high energy electron beam. Referring to figure 2, a high-voltage discharge 202 is struck between a long,  
10 hollow cathode 201 and a slotted anode 203. A portion of the discharge current emerges in the form of an energetic electron beam 204 that passes through the slot into the processing chamber, while the remainder of the discharge current flows to the anode 203.

Figure 3 shows a second example beam source for producing a high energy electron beam. Referring to figure 3, electrons are extracted from a dense plasma or  
15 other electron source 301 and then accelerated by a high voltage 305 applied to a nearby grid 302 or slot 303. Both methods are capable of generating electron beams of the required energy and current density at gas pressures below 300 mtorr.

Referring again to figure 1, the magnetic field is applied to the electron beam 102 to prevent the beam from striking the stage or the material being processed 107, and to  
20 keep the beam current density approximately constant over the propagation length, and to reduce the outward flow of plasma electrons. A field of around 200 G keeps the beam gyroradius under 1 cm, which is generally sufficient for CIIPS. The field strongly retards the flow of plasma electrons but has little effect on the massive ions, and as a result,

negative ions can escape the plasma and strike the substrate 107 more easily than in other plasma sources.

As the electron beam 102 collides with the halogen and other gas molecules, it generates ions, electrons, and radicals through ionization and dissociation. At the same  
5 time, gas flow keeps the gas cold and the degree of ionization and dissociation low. The plasma electrons therefore cool rapidly and attach to form negative ions, thereby producing a weakly ionized but dense plasma 103 consisting mainly of positive 109 and negative ions 108 and neutral radicals 110. As these particles diffuse out of the plasma, they etch any reactive material they contact.

10 The etch rate may be increased by placing the material on a stage to which rf is applied at a frequency approximately  $\leq 1$  MHz. The rf voltage increases the energy of the ions (to typically 20 eV or more) striking the material. At low gas pressure, the rf sheath is thinner than the ion mean free path, and thus isotropic radicals together with energetic and highly anisotropic, positive and negative ions strike the material. As  
15 previously noted, the ion flux from an ion-ion plasma is much smaller than that from an electron-ion plasma of the same density, and thus the etch rate is smaller as well. The reduction in etch rate is partially offset by a reduction in substrate heating, and the etch rate can be increased to some extent by raising the beam current to increase the plasma density.

20 The method for creating an ion-ion plasma continuous in time comprises a processing chamber containing a large concentration of at least one halogen gas, and a second chamber coupled to the processing chamber. The method includes creating a high energy electron beam in the second chamber and injecting the high energy electron beam

into the processing chamber. After the electron beam is injected into the chamber the next step is shaping the high energy electron beam injected into the processing chamber with a magnetic field. The high energy electron beam injected into the processing chamber ionizes the halogen gas, creating a dense ion-ion plasma in the processing  
5 chamber that is continuous in time.

The high energy electron beam injected into the processing chamber creates a ion-ion plasma by dissociating the molecules of the halogen gas into a group of cold plasma electrons, free electrons and positive ions, and the cold free electrons created in the plasma attach to halogen molecules forming negative ions producing a dense plasma  
10 comprising a large concentration of positive and negative ions and neutral radicals. The high energy electron beam within the second chamber is approximately 2000ev. The processing chamber contains a multitude of halogen gases.

The high energy electron beam is shaped and confined by a magnetic field which provides uniformity over a large area and minimizes the loss of electron energy.

15 Although this invention has been described in relation to the exemplary embodiment's thereof, it is well understood by those skilled in the art that other variations and modifications can be affected on the preferred embodiment without departing from scope and spirit of the invention as set fourth in the claims.